KPRESS MAIL NO. EV 384065783 US

PATENT APPLICATION

Docket No: 15689.51

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of: Abeta et al. Serial No.: 09/446,560 ) Art Unit 2634 Filed: December 22, 1999 For: CDMA RECEIVER AND CDMA TRANSCEIVER/RECEIVER Customer No.: 022913

> **INFORMATION DISCLOSURE STATEMENT** UNDER 37 C.F.R. § 1.97

**Technology Center 2600** 

APR 2 0 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its

entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or

relevant portion thereof is also enclosed.

Since all listed references are either in the English language or are accompanied by a

translation into English, no concise explanation of relevance is required under 37 C.F.R. §

1.98(a)(3).

(Promptness Certification or Submission Fee)

Under 37 C.F.R. § 1.97(c))

In accordance with 37 C.F.R. § 1.97(c), a PTO-2038 Credit Card form in the amount of

\$180.00 is enclosed to secure consideration of the references submitted with this Information

Disclosure Statement.) Please credit any over payment or charge any additional fees to Deposit

Account No. 23-3178 of the undersigned.

DATED April 13, 2004.

Respectfully submitted,

ADŘIAN J. LEE

Attorney for Applicant

Registration No. 42,785

Customer No. 022913

AJL: ds

DS0000001908V001

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				Docket Number (Optional) 15689.51		Application Number 09/446,560			
	<b>INFO</b>	MATION DISCLOSURE  of several sheets if necessary	E CITATION		Applicant(s) Abeta et al.				
APR 1 4 2004				Filing Date December 22, 1999		Group Art Unit			
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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Abeta et al. 15689 Filing Date Serial No. Examiner **Group Art Unit** 09/446,560 December 22, 1999 Curtis B. Odom 2634 RMA RECEIVER AND CDMA TRANSCEIVER APR 1 4 2004 APR 2 0 2004 I hereby certify that the following correspondence: Technology Center 2600 (see below) (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 4,2004

ADRIAN J. LEE

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV 384065783 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

Transmittal for Information Disclosure Statement (1 page; duplicate); Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages); Form PTO-1449 Listing of References (1 page); Legible Copy of References; (2 references) Certificate of Express Mail (EV384065783US); Acknowledgment Postcard PTO 2038 Credit Card Form for \$180.00

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT					Docket No. 15689.51		
In Re Application Of PR 1Abeta et al.							
Serial No. Filing Date Examiner Group Art Unit							
09/446,560 December 22, 1999 Not Yet Assigned 2634							
Title:	CDMA RECEIVE	ER AND CDMA TRANSCEIVER/	RECEIVER	,			
					RECEIVED		
					APR 2 0 2004		
		Commiss P.O	idress to: ioner for Patents b. Box 1450 a, VA 22313-1450	Te	echnology Center 2600		
		37 C	FR 1.97(b)				
1. 🔲	1.   The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.						
		37 C	FR 1.97(c)				
2. 🛚	☑ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:						
	☐ the staten	nent specified in 37 CFR 1.97(e);					
		OR					
	★ the fee see	et forth in 37 CFR 1.17(p).					

Serial No. 09/446,560  December 22, 1999  RECEIVED  APR 2 0 2004  Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 GFR 1.17(p))  A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.  Charge any additional fee required.  Certificate of Transmission by Fassimile*  Certificate of Transmission by Fassimile*  Certificate of Transmission by Fassimile*  Certificate of Transmission of the United States Patent and Transmission of the United States Potential Transmission of Transmissio		OSURE STATEMENT 7(c))	Docket No. 15689.51			
CDMA RECEIVER AND CDMA TRANSCEIVER/RECEIVER  RECEIVED  APR 2 0 2004  Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 23-3178  as described below. Charge the amount of Credit any overpayment. Charge the amount of Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  Certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:  Signature  Typed or Printed Name of Person Signing Certificate  *This certificate may only be used if paying by  Signature  Dated: April 12 1,2004  ADRIAN J. LEE  Attorney for Applicat  Reg. No.: 42,785	In Re Application: A	Abeta et al. APR 1 4 2004				
Payment of Fee  Technology Center 2600  Payment of Fee  Technology Center 2600  Payment of Fee  Technology Center 2600  A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. 23-3178  as described below.  Charge the amount of Credit any overpayment. Certificate of Transmission by Facsimile*  Certificate of Transmission by Facsimile*  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  Certificate of Mailing b	Serial No.	TOWNS DAME OF	Examiner	Group Art Unit		
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* Conly complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. 23-3178  as described below.  Charge the amount of Credit any overpayment.  Charge any additional fee required.  Certificate of Transmission by Faesimile*  Certificate of Mailing by First Class Mail  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:  Signature  Signature  *This certificate may only be used if paying by deposit account.  Signature  Dated: April 12, 2004  ADRIAN J. LEE  Attorney for Applicat  Reg. No.: 42,785	CDMA RECEIVE	/RECEIVER				
(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  □ A check in the amount of is attached. □ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178  as described below. □ Charge the amount of □ Credit any overpayment. □ Charge any additional fee required. Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  □ certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: □ Signature  Signature  *This certificate may only be used if paying by deposit account.  □ Dated: April 121, 2004  ADRIAN J. LEE  Attorney for Applicat  Reg. No.: 42,785		Payı	ment of Fee	Technology Center 2600		
The Director is hereby authorized to charge and credit Deposit Account No. 23-3178  as described below.  Charge the amount of Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail    Certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:    Signature     Signature     Signature     Typed or Printed Name of Person Signing Certificate     Typed or Printed Name of Person Mailing Certificate     Typ	•	-		.17(p))		
*This certificate may only be used if paying by deposit account.  *Signature  ADRIAN J. LEE  Attorney for Applicat  Reg. No.: 42,785	Charge the Credit and Charge at Certificate of Tolerand Trademark Communication of the Commun	the amount of my overpayment.  In any additional fee required.  Transmission by Facsimile*  Thent and authorization to charge deposition in the United States Office (F:	I certify that this document and fee is being deposited on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
*This certificate may only be used if paying by deposit account.  Signature  ADRIAN J. LEE  Attorney for Applicat  Reg. No.: 42,785		Signature Signature of Person Mailing Correspondence				
ADRIAN J. LEE Attorney for Applicat Reg. No.: 42,785	Typed or Printed N	Name of Person Signing Certificate	Typed or Printed Name of Per:	son Mailing Certificate		